



NOTICE TO MEMBERS

No. 2015 – 132

November 18, 2015

SELF-CERTIFICATION

AMENDMENTS TO RULE A-216 OF CDCC REGARDING AUDITED STATEMENTS OF THE CORPORATION

On July 29, 2015, the Board of Directors of the Canadian Derivatives Clearing Corporation (CDCC) approved amendments to the Rules of CDCC. CDCC wishes to inform the Clearing Members that the amendment has been self-certified pursuant to the self-certification process set forth in the *Derivatives Act* (C.Q.L.R., c I-14.01) and approved by the Ontario Securities Commission in accordance with the “Rule Change Not Requiring Approval in Ontario” process.

The purpose of the amendment is to amend the undertaking regarding the timeframe for the delivery of CDCC’s Balance Sheet and Internal Control audit reports.

You will find attached hereto the amendments to be incorporated into the version of the Rules of CDCC that will be made available on the CDCC website at www.cdcc.ca as of November 18, 2015 and set to come into force on November 30, 2015.

If you have any questions or concerns regarding this notice, please contact CDCC’s Corporate Operations department or direct your e-mail inquiries to cdcc-ops@cdcc.ca.

Glenn Goucher
President and Chief Clearing Officer

Canadian Derivatives Clearing Corporation

The Exchange Tower	800 Victoria Square
130 King Street West, 5 th Floor	3 rd Floor
Toronto, Ontario	Montréal, Québec
M5X 1J2	H4Z 1A9
Tel. : 416-367-2470	Tel. : 514-871-3545

www.cdcc.ca



CANADIAN DERIVATIVES CLEARING CORPORATION

RULES

VERSION OF ~~AUGUST 21~~, 2015

SECTION A-216 AUDITED STATEMENTS OF THE CORPORATION

~~After they have been presented to the Board~~~~Within 120 days of director approval of its audited financial statements at the annual meeting of~~, the Corporation shall furnish at its expense to each Clearing Member one copy of:

- a) the balance sheet forming part of its audited financial statements for such fiscal year, with accompanying notes related to the balance sheet;
- b) the report of the Corporation's independent auditor thereon;
- c) the report of the Corporation's independent auditors on the suitability of the system of internal controls of the Corporation with the objectives of internal control stated by the Corporation pertaining to its:
 - i) administration;
 - ii) information technology;
 - iii) trading/assignment/exercise; and
 - iv) margin and collateral.